



Substitu	te for form 1449/PTO				Complete If Known
				Application Number	10/523,503-Conf. #5918
INF	ORMATIO	N DI	SCLOSURE	Filing Date	July 13, 2005
ST	ATEMENT	BY A	APPLICANT	First Named Inventor	Volker Mittendorf
				Art Unit	1638
	(Use as many st	e ets as	necessary)	Examiner Name	B. Baggot
heet	1	of	4	Attorney Docket Number	12810-00379-US

			U.S. PA	TENT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code ² (#known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
/ 88 /	AA*	US-6,274,379- B1	08-14-2001	Famodu et al.	
	AB*	US-2002/0023281-A1	02-21-2002	Gorlach et al.	
	AC*	US-2002/0059663-A1	05-16-2002	Gorlach et al.	
-	AD*	US-2004/0072159-A1	04-15-2004	Takaiwa et al.	
	AE*	US-2004/0086989-A1	05-06-2004	Badur et al.	1

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Examine Initials*	Cite No.1	Foreign Petent Document Country Code ³ -Number ⁴ -Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicent of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁴
	BA	WO-91/19806-A1	12-26-1991	Monsanto Company		
	BB	WO-94/11516-A1	05-26-1994	E.I. Du Pont De Nemours and Co.		
П	вс	WO-94/28141-A1	12-08-1994	Long Ashton Research Station		
	BD	WO-96/07742-A1	03-14-1996	Asgrow Seed Co.		
	BE	WO-97/35983-A2	10-02-1997	Zeneca Ltd.		
	BF	WO-98/35044-A1	08-13-1998	National Research Council of CA		
	BG	WO-98/54954-A1	12-10-1998	Cargill, Inc.		
П	BH	WO-98/59057-A1	12-30-1998	The General Hospital Corp.		
	ВІ	WO-99/41974-A1	08-26-1999	The Regents of the University of California		
	BJ	WO-99/53073-A2	10-21-1999	Broun, et al.		
	ВК	WO-00/11012-A1	03-02-2000	Rutgers, The State Univ. of NJ		
	BL	WO-00/22092-A2	04-20-2000	Genesis Research and Development Corp. Ltd.		
	ВМ	WO-00/36117-A1	06-22-2000	E.I. Du Pont De Nemours and Co.		
\Box	BN	EP-1 033 405-A2	09-06-2000	Ceres Inc.		
	во	CA-2 307 960-A1	11-06-2000	Board of Trustees Operating Michigan State Univ.		
	BP	WO-01/35725-A1	05-25-2001	Mendel Biotechnology, Inc.		
	BQ	WO-01/66777-A1	09-13-2001	SweTree Genomics AB		
	BR	WO-01/77311-A2	10-18-2001	BASF Plant Science GmbH		
	BS	WO-02/10210-A2	02-07-2002	Bayer Aktiengesellschaft		
V	ВТ	WO-02/16655-A2	02-28-2002	The Scripps Research Institute and Syngenta Participations AG		

Examiner Signature	/Brendan Baggot/ (01/29/2008)	Date Considered	



Complete If Known Substitute for form 1449/PTO Application Number 10/523,503-Conf. #5918 INFORMATION DISCLOSURE Filing Date July 13, 2005 STATEMENT BY APPLICANT First Named Inventor Volker Mittendorf Art Unit 1638 (Use as many sheets as necessary) Examiner Name B. Baggot Sheet of Attorney Docket Number 12810-00379-US

/BB/	9	BU	WO-02/31154-A1	04-18-2002	National Institute of Agrobiological Sciences and Bio-Oriented Tech. Research Advancement Institute	See US 2004/0072159 A1
		BV	WO-02/072848-A2	09-19-2002	Sungene GmbH & Co. KGAA	See US 2004/0086989 A1
	П	BW	WO-03/000898-A1	01-03-2003	Syngenta Participations AG	
	П	BX	WO-03/008440-A2	01-30-2003	Syngenta Participations AG	
	П	BY	WO-03/027249-A2	04-03-2003	Syngenta Participations AG	
	П	BZ	WO-04/035798-A2	04-29-2004	CropDesign N.V.	

*EXAM_EX. Initial I reference consistent, whether or not classion is no conformance with MPEP 800. Deve the through classifier it not in conformance and not consideded, include copy of this form when then communication to applicant. *CTER 0.00. Those application with resident when a reign seatest with an elegion activated to the CIB. No. are not supplied (under 37 CFR 1.98(0)(3)(1)) because that application was fleet side at 30, 2003 or is available in the PVI. *Applicant's universe platton experient number (potton). *See thereof codes of USPTO Pointer Oursements at timescalinguage or MPEP 9010 *CB. *Size OFFC 0.00 *CB. *Size OFFC

П		NON PATENT LITERATURE DOCUMENTS	
Exami Initials	er Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, calalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
П	CA	ARONDEL, V., et al., "Lipid Transfer Proteins are Encoded by a Small Multigene Family in Arabidopsis thaliana", Plant Science, Vol. 157, No. 1, (2000), pp. 1-12.	
	СВ	BENSMIHEN, S., et al., "The Homologous ABI5 and EEL Transcription Factors Function Antagonistically brine-Tune Gene Expression during Late Embryogenesis", The Plant Cell, Vol. 14, No. 6, (2002), pp. 1391-1403.	
	СС	FINKELSTEIN, R.R., "Abscisic Acid-insensitive Mutations Provide Evidence for Stage-Specific Signal Pathways Regulating Expression of an <i>Arabidopsis</i> Late Embryogenesis-abundant (lea) Gene", Mol. Gen Genet, Vol. 238, No. 3, (1993) pp. 401-408.	
П	CD	GAUBIER, P., et al., "Two Different Em-like Genes are Expressed in Arabidopsis thaliana seeds during Maturation", Mol. Gen Genet, Vol. 238, No. 3, (1993), pp. 409-418.	
П	CE	JAKOBY, M., et al., "bZIP Transcription Factors in Arabidopsis" TRENDS in Plant Science, Vol. 7, No. 3, (2002), pp. 106-111.	
П	CF	LI, X.P., "A Pigment-binding Protein Essential for Regulation of Photosynthetic Light Harvesting", Nature, Vol. 403, No. 6768, (2000), pp. 391-395.	
П	CG	WANG, M.L., et al., "A Cluster of ABA-Regulated Genes on Arabidopsis theliana BAC T07M07", Genome Research, Vol. 9, No. 4, (1999), pp. 325-333.	
П	СН	"Arabidopsis thaliana At5g53970 mRNA Sequence", EMBL Database, Accession No. AY113848, June 5, 2002.	
П	CI	"Arabidopsis thaliana unknown protein (Atlgl6850) mRNA, complete cds", EMBL Database, Accession No. AY080886, March 15, 2002.	
	Cl	"Arabidopsis thaliana unknown protein (At3q48410) mRNA, complete cds", EMBL Database, Accession No. AY065282, December 13, 2001.	
N.	CK	"Arabidopsis thaliana ATt3g03380/T21P5_20 mRNA, complete cds", EMBL Database, Accession No. AY078951, March 13, 2002.	
V	CL	"Arabidopsis thaliana cDNA clone:RAFL15-46-F10, 3'-end", EMBL Database, Accession No. AU228084, March 19, 2002.	

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•	action of form 17400 10			Application Number	10/523,503-Conf. #5918
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S	TATEMENT	BY A	APPLICANT	First Named Inventor	Volker Mittendorf
				Art Unit	1638
	(Use as many sh	o ots as	nocessary)	Examiner Name	B. Baggot
Sheet	3	of	4	Attorney Docket Number	12810-00379-US

/BB/	СМ	"Arabidopsis thaliana bZIP protein DPBF4 mRNA, complete cds", EMBL Database, Accession No. AF334209, March 19, 2001.
	CN	"Arabidopsis thaliana partial mRNA for basic leucine zipper transcription factor (atbzip12 gene)", EMBL Database, Accession No. AJ420881, July 3, 2002.
	со	"Arabidopsis thaliana unknown protein (At1g02700) mRNA, complete cds", EMBL Database, Accession No. AY056328, October 2, 2001.
	СР	"Arabidopsis thaliana At2g36580/F1011.21 mRNA, complete cds" EMBL Database, Accession No. AY069894, December 28, 2001.
	CQ	*Arabidopsis thaliana putative phosphatidylglycerotransferase (At4g04870) mRNA, complete cds", EMBL Database, Accession No. AY059735, November 5, 2001.
П	CR	"Arabidopsis thaliana putative phosphatidate cytidylyltransferase (At2g45150) mRNA, complete cds", EMBL Database, Accession No. AY050778, August 27, 2001.
	cs	"Arabidopsis thaliana PsbS protein (PsbS) mRNA, complete cds", EMBL Database, Accession No. AF134131, May 5, 1999.
	СТ	"701555010 A. thaliana, Columbia Col-0, rosette-3 Arabidopsis thaliana cDNA clone 701555010, mRNA sequence", EMBL Database, Accession No. Al999223, September 9, 1999.
	CU	"A. thaliana mRNA for gibberellin 20-oxidase (1425 bp)" EMBL Database, Accession No. X83381, December 7, 1995.
	CV	"Arabidopsis thaliana cDNA clone:RAFL19-83-J04, 5'-end", EMBL Database, Accession No. AU239460, March 23, 2002.
	cw	"Arabidopsis thallana chromosome 2 clone F14N22 map mi551, complete sequence", EMBL Database, Accession No. AC007087, March 23, 1999.
	сх	"Arabidopsis thaliana Atlg62710/F23N19_8 mRNA, complete cds", EMBL Database, Accession No. AY059156, October 26, 2001.
	CY	"Arabidopsis thaliana clone 98340 mRNA, complete sequence", EMBL Database, Accession No. AY088879, June 14, 2002.
	cz	"Arabidopsis thaliana ERD9 mRNA for glutathione S-transferase, complete cds", EMBL Database, Accession No. AB039930, August 28, 2001.
	CA1	"Arabidopsis thaliana At1g10370 mRNA sequence", EMBL Database, Accession No. AY091102, April 23, 2002.
П	CB1	"Arabidopsis thaliana chromosome I glutathione S-transferase (GST30) mRNA, complete cds", EMBL Database, Accession No. AF288191, November 6, 2000.
П	CC1	"A. Thaliana Em-like protein (Em6c2)", EMBL Database, Accession No. Z11924, May 18, 1993.
	CD1	"Arabidopsis thaliana putative ABA-regulated protein ATEM6 (At2g40170) mRNA, complete cds", EMBL Database, Accession No. AF360157, March 22, 2001.
	CE1	"Arabidopsis thaliana cysteine proteinase (GCP1) mRNA, complete cds", EMBL Database, Accession No. AY043294, August 26, 2001.
	CF1	"Arabidopsis thaliana genomic DNA, chromosome 5, P1 clone: MJE7", EMBL Database, Accession No. AB020745, December 14, 1998.
	CG1	"Arabidopsis thaliana unknown protein (At1g69460) mRNA, complete cds", EMBL Database, Accession No. AY114598, June 11, 2002.
	CH1	"Arabidopsis thaliana At1954870/F14C21_16 mRNA, complete cds", EMBL Database, Accession No. AY124852, July 8, 2002.
	CI1	"Arabidopsis thaliana clone 35786 mRNA, complete sequence", EMBL Database, Accession No. AY087472, June 14, 2002.
V	CJ1	"Arabidopsis thaliana cDNA clone: RAFL19-41-A06, 5'-end", EMBL Database, Accession No. AU238975, March 23, 2002.

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/BB/ C	CK1	"M55B3STM Arabidopsis developing seed Arabidopsis thaliana cDNA clone M55B3 5', mRNA sequence", EMBL Database, Accession No. BE524857, August 10, 2000.	
C	CL1	"Glycine max seed matuation protein PM38 (PM38) mRNA, complete cds", EMBL Database, Accession No. AF169023, September 1, 1999.	
C	CM1	"Arabidopsis thaliana cell division cycle protein (CDC48) mRNA, complete cds", EMBL Database, Accession No. U37587, October 16, 1995.	
	CN1	"Glycine max valosin-containing protein mRNA, complete cds", EMBL Database, Accession No. U20213, June 19, 1995.	
	01	"Arabidopsis thaliana DNA chromosome 4, contig fragment No. 75, EMBL Database, Accession No. AL161579, March 16, 2000.	
C	CP1	"Arabidopsis thaliana cDNA clone: RZL54e10F, 3' end", EMBL Database, Accession No. AV548453, June 16, 2000.	
	Q1	"Arabidopsis thaliana putative protein disulfide-isomerase (At2g47470) mRNA, complete cds", EMBL Database, Accession No. AY091388, April 22, 2002.	
C	CR1	"Arabidopsis thaliana AT5g45690/MRA19_8 mRNA, complete cds", EMBL Database, Accession No. AY052317, September 6, 2001.	
C	CS1	"Arabidopsis thaliana annexin (AnnAt1) mRNA, complete cds", EMBL Database, Accession No. AF083913, June 3, 1999.	
C	CT1	"A. thaliana transcribed sequence; clone YAY177; 5' end; Similar to Corticosteroid 11-beta- dehydrogenase; rattus norvegicus", EMBL Database, Accession No. Z35038, July 8, 1994.	
	CU1	"Putative oxidoreductase", UniProt Database, Accession No. Q9T0G0, May 1, 2000.	
0	CV1	"Arabidopsis thaliana unknown protein (At4g13010) mRNA, complete cds", EMBL Database, Accession No. AY117154, June 18, 2002.	Г
C	CW1	"Arabidopsis thaliana At1g12010/F12F1_12 mRNA, complete cds", EMBL Database, Accession No. AY052694, September 6, 2001.	
0	CX1	"Arabidopsis thaliana At5g01670 mRNA for putative aldose reductase, complete cds, clone: RAFL19-21-M03", EMBL Database, Accession No. AK118010, December 13, 2002.	Γ
	CY1	"Nonspecific lipid-transfer protein 2 precursor (LTP 2)", GenBank, Accession No. Q9S7I3, December 1, 2000.	
	CZ1	"Poly [ADP-ribose] polymerase 3 (PARP-3) (ADPRT 3) (NAD(+) ADP-ribosyltransferase 3) (Poly[ADP-ribose] synthetase 3)", GenBank, Accession No. Q9FK91, November 28, 2006.	
	CA2	"Putative seed maturation protein", GenBank, Accession No. Q9SIN3, May 1, 2000.	
V	CB2	"Vacuolar-processing enzyme beta-isozyme precursor (Beta-VPE)", GenBank, Accession No. Q39044, July 11, 2002.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Applicant's unique citation designation number (optionel). Applicant is to place a check mark here if English language Transletion is attached.

Application No. (if known): 10/523,503

Attorney Docket No.: 12810-00379-US

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